



Appln. No.: 10/699,713

ARS-103US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 10/699,713
Applicant: James R. Becker
Filed: November 3, 2003
Title: DRY ICE BLOCK EXTRUDER
TC/A.U.: 3744
Examiner: William Charles Doerrler
Confirmation No.: 3526
Docket No.: ARS-103US

**NOTIFICATION OF ERROR IN PAYMENT OF FEE(S) AS A SMALL ENTITY
(37 C.F.R. § 1.28(c))**

Erroneous Filing of Small Entity Statement

1. On November 3, 2003, a small entity assertion was erroneously filed in this application. 05/31/2005 SLUANG1 00000001 10699713 01 FC:1001 790.00 OP
 application
 patent
2. This assertion of small entity status in this application and the payment of fee(s) as a small entity was/were made in good faith.
3. It has now been discovered that such status as a small entity was established in error.

Itemization of the Fee(s) Erroneously Paid as Small Entity

<u>FEE(S) ERRONEOUSLY PAID AS A SMALL ENTITY</u>	<u>FEE ACTUALLY PAID AS A SMALL ENTITY</u>	<u>DEFICIENCY OWED</u>
<input checked="" type="checkbox"/> Filing fee paid on 11/3/03	\$ 385.00	\$ -85.00
<input type="checkbox"/> Fee for excess claims (over 20 paid on _____)	\$ _____	\$ _____
<input type="checkbox"/> Fee for multiple claims paid on _____	\$ _____	\$ _____
<input checked="" type="checkbox"/> Search fee paid on 11/3/03	\$ 0	\$ 500.00
<input checked="" type="checkbox"/> Examination fee	\$ 0	\$ 200.00
<input type="checkbox"/> Extension of time fee paid on _____	\$ _____	\$ _____
<input type="checkbox"/> The issue fee paid on _____	\$ _____	\$ _____

Adjustment date: 05/27/2005 EFLORES
11/16/2003 S51THIB1 00000009 10699713
01 FC:2001 -385.00 OP

Adjustment date: 05/27/2005 EFLORES
05/16/2005 EHAILE1 00000035 10699713
04 FC:1999 -70.00 OP

Page 2 of 2

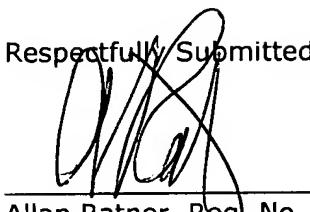
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05/27/2005 EFLORES 00000024 10699713
01 FC:1011 300.00 OP
02 FC:1444 -300.00 OP
03 FC:1311 -200.00 OP

Void date: 05/27/2005 SLUANG1
05/27/2005 EFLORES 00000024 10699713
01 FC:1011 300.00 OP
02 FC:1444 -300.00 OP
03 FC:1311 -200.00 OP

Void date: 05/27/2005 SLUANG1
05/27/2005 EFLORES 00000024 10699713
01 FC:1011 300.00 OP
02 FC:1444 -300.00 OP
03 FC:1311 -200.00 OP

<input type="checkbox"/> <u> </u> maintenance fee paid on <u> </u>	\$	\$
<input type="checkbox"/> Other:	\$	\$
	Total deficiency owed	\$ <u>615.00</u>

Respectfully Submitted,


Allan Ratner, Reg. No., 19,717
Attorney for Applicant(s)

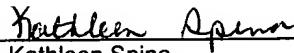
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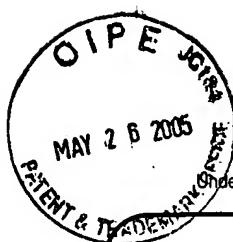
Dated: May 24, 2005

P.O. Box 980
Valley Forge, PA 19482
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: May 24, 2005


Kathleen Spina



DAG
\$
ZFW

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 6

Application Number	10/699,713
Filing Date	November 3, 2003
First Named Inventor	James R. Becker
Art Unit	3744
Examiner Name	William Charles Doerrler
Attorney Docket No.	ARS-103US

ENCLOSURES (Check all that apply)

Fee Transmittal Form (in duplicate)
 Fee Attached

Amendment/Reply
 After Final
 Affidavits/Declaration(s)

Extension of Time Request

Express Abandonment Request

Information Disclosure Statement

Certified Copy of Priority Document(s)

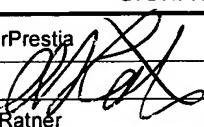
Response to Missing Parts/
Incomplete Application
 Response to Missing Parts
under 37 CFR 1.52 or 1.53

Drawing(s)
 Licensing-related Papers
 Petition
 Petition to Convert to a
Provisional Application
 Power of Attorney, Revocation,
Change of Correspondence
Address
 Terminal Disclaimer
 Request for Refund
 CD, Number of CD(s) _____
 Landscape Table on CD

After Allowance Communication
to TC
 Appeal Communication to Board
of Appeals and Interferences
 Appeal Communication to TC
(Appeal Notice, Brief, Reply
Brief)
 Proprietary Information
 Status Letter
 Other Enclosure(s) (please
identify below): Notification Of
Error in Payment of Small Entity
Fees, PTO-2038, Return
Postcard.

Remarks:

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm Name	RatnerPrestia		
Signature			
Printed Name	Allan Ratner		
Date	May 24, 2005	Registration No.	19,717

CERTIFICATE OF TRANSMISSION / MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	Kathleen Spina		
Typed or Printed Name	Kathleen Spina	Date	May 24, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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Effective on 12/08/04.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL For FY 2005

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 615.00)

Complete if Known

Application Number	10/699,713
Filing Date	November 3, 2003
First Named Inventor	James R. Becker
Examiner Name	William Charles Doerrler
Art Unit	3744
Attorney Docket No.	ARS-103US

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order None Other (please identify): _____
 Deposit Account Deposit Account Number: 18-0350 Deposit Account Name: RatnerPrestia

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee
 Charge any additional fee(s) or underpayment of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

<u>Application Type</u>	<u>FILING FEES</u>		<u>SEARCH FEES</u>		<u>EXAMINATION FEES</u>		<u>Fees Paid (\$)</u>
	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Small Entity</u>	<u>Fee (\$)</u>	<u>Small Entity</u>	<u>Fee (\$)</u>	
Utility	300	150	500	250	200	100	—
Design	200	100	100	50	130	65	—
Plant	200	100	300	150	160	80	—
Reissue	300	150	500	250	600	300	—
Provisional	200	100	0	0	0	0	—

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>	
				<u>Fee (\$)</u>	<u>Fee (\$)</u>
	- 20 or HP =	x	=	—	—
HP = highest number of total claims paid for, if greater than 20					

<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
	- 3 or HP =	x	=

HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

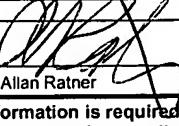
<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 100 =		/ 50 = (round up to a whole number)	x	=

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Deficiency in erroneously filed small entity fees

615.00

<u>SUBMITTED BY</u>		Complete if applicable			
<u>Signature</u>		<u>Registration No. Attorney/Agent</u>	19,717	<u>Telephone</u>	610-407-0700
<u>Name (Print/Type)</u>	Allan Ratner			<u>Date</u>	May 24, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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